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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/707,730	11/06/2000	Craig Hill	16778-704

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

## FORMALITIES LETTER



\*OC000000005692782\*

Date Mailed: 01/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$72.
  - \$72 for 4 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 912.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

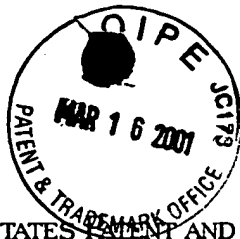
PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/21/2001 GDEBELA1 00000070 232415 09707730

FC:201 355.00 CH  
FC:203 36.00 CH  
FC:205 65.00 CH

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JAN 25 2001



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/707,730	11/06/2000	1635	0	16778-704		24	3

 021971  
 WILSON SONSINI GOODRICH & ROSATI  
 650 PAGE MILL ROAD  
 PALO ALTO, CA 943041050

## FILING RECEIPT



\*OC000000005692781\*

Date Mailed: 01/22/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Craig Hill, ~~Residence Not Provided~~, Stockton, CA;  
 Steve Kahl, ~~Residence Not Provided~~, Stephen B. Kahl, Portola Valley, CA;  
 Robert R. Webb, ~~Residence Not Provided~~, Moss Beach, CA;  
 Constance A. McKee, ~~Residence Not Provided~~, Woodside, CA

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/217,037 12/21/1998

## Foreign Applications

If Required, Foreign Filing License Granted 01/19/2001

## Title

Compounds for intracellular delivery of therapeutic moieties to nerve cells

## Preliminary Class

514

Data entry by : JACKSON, MINNIE

Team : OIPE

Date: 01/22/2001



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hill, et al.

Serial No.: 09/707,730

Filed: November 6, 2000

For: COMPOUNDS FOR INTRACELLULAR DELIVERY OF THERAPEUTIC MOIETIES TO NERVE CELLS

Group No.: 1635

Examiner: Not Yet Assigned

Commissioner for Patents  
Washington, D.C. 20231COMPLETION OF FILING REQUIREMENTS  
—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed January 22, 2001. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

## DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

## POWER OF ATTORNEY

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

## SMALL ENTITY STATUS

- IV. Applicant claims small entity status.

## COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$355.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$28.00
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$0
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
5. Assignment (See "ASSIGNMENT COVER SHEET".)	\$40.00
Total completion fees	\$488.00

## EXTENSION OF TIME

- V. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.  
Fee Due: \$488.00

## REQUEST FOR CORRECTED FILING RECEIPT

- VI. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in	Correct data
1. Applicant name and/or addresses	- Craig Hill, Stockton, CA; Stephen B. Kahl, Portola Valley, CA; Robert Webb, Moss Beach, CA; Constance A. McKee, Woodside, CA

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

## TOTAL FEE DUE

- VII. The total fee due is: Completion fees \$488.00 Extension of Time Fees \$ Corrected Filing Receipt Fees \$25.00

TOTAL FEE DUE \$513.00

## PAYMENT OF FEES

- VIII. Charge Account No. 23-2415 (16778-704) in the amount of \$513.00.  
Please charge Account No. 23-2415 (16778-704) for any fees that may be due by this paper.

Date: March 16, 2001David J. Weitz  
David J. Weitz, Reg. No. 38,362Wilson Sonsini Goodrich & Rosati  
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